

Account Application

Do not use this application to establish an Individual Retirement Account.

Please print clearly all items except signature.

To avoid having your application returned, please be sure to complete steps 1, 2 & 7.

Return completed form with payment to the Fund.

P.O. Box 46707, Cincinnati, Ohio 45246-0707 **Overnight:** 225 Pictoria Drive, Suite 450, Cincinnati, Ohio 45246

For assistance with other forms, please call us at 1-800-622-2474.



OAK VALUE FUND

Step 1: Account Registration

1A. Check One

Individual **Joint Account** *(cannot be a minor)*

Joint owners have rights of survivorship, unless state laws regarding community property apply.

Owner's Legal Name

Owner's Social Security ID Number *Owner's Date of Birth*

Joint Owner's Name (if applicable)

Joint Owner's Social Security ID Number *Joint Owner's Date of Birth*

Trust, Corporation, Partnership or other Entity

Please attach a copy of the appropriate bylaws, articles of incorporation, resolutions or trust documents establishing authority to open this account and the existence of the entity.

Name of Trust, Corporation, Partnership or other Entity

Taxpayer Identification Number *Trust Date*

Name of Trustee(s) or Authorized Individual(s)

Date of Birth for Trustee(s) or Authorized Individual(s)

Social Security ID Number of Trustee(s) or Authorized Individual(s)

Gift/Transfer to a Minor (UGMA/UTMA)

_____ as a custodian for
Custodian's Name (only one permitted)

_____ under the _____ UGMA/UTMA.
Minor's Name (only one permitted) *State*

Minor's Social Security Number *Minor's Date of Birth*

Custodian's Social Security Number *Custodian's Date of Birth*

1B. Mailing Address and Telephone Number

Number and Street or P.O. Box

City *State* *Zip*

(_____) _____
Telephone Number *Fax Number*

E-mail Address

1C. Legal Address (Physical Address)

Only needed if different from mailing address, No P.O. Boxes

Number and Street

City *State* *Zip*

Step 2: Initial Investment

Indicate the amount and enclose a check for the amount of your investment.

Oak Value Fund (\$2,500 min.) Amount \$ _____

The Fund does not accept cash, "starter" checks, travelers checks, credit card checks, drafts, and post-dated checks, cashier's checks under \$10,000 or money orders.

Step 3: Redemption and Distribution Options

You can sell shares of your Fund having a value of \$50,000 or less by phone and have the money sent to you unless you decline this option.

Decline

Your dividends and capital gains will be automatically reinvested into your account unless you indicate otherwise below.

	<u>Distribution Method</u>		<u>Payment Method</u>	
	<u>Reinvest</u>	<u>Withdrawal*</u>	<u>ACH**</u>	<u>Check</u>
Capital Gains	<input type="checkbox"/>	or <input type="checkbox"/>	<input type="checkbox"/>	or <input type="checkbox"/>
Dividends	<input type="checkbox"/>	or <input type="checkbox"/>	<input type="checkbox"/>	or <input type="checkbox"/>

**must choose a payment method*

*** Automated Clearing House
Sent to bank account listed in Step 6*

Step 4: Duplicate Statements and Confirmations

Please send duplicate statements and confirmations to an address other than that listed in Section 1B (optional):

Name

Company Name

Street Address or P.O. Box

City *State* *Zip*

